

### **Reporting of Adverse Events to IRBs**

**FDA Public Hearing  
Rockville, Maryland  
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Chesapeake Research Review, Inc.  
Columbia, Maryland

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### **Authority of the IRB**

**IRB authority is limited**

- ⚡ **Suspend or terminate study approval**
- ⚡ **Change consent form\***
- ⚡ **Change protocol \***

**\*Creates inconsistencies for multi-site studies**

### **Type of Information that would be useful to IRBs**

⚡ **The sponsor's current thinking as to what action should be taken in response to this unexpected event**

- ⚡ **Should the protocol be changed?**
- ⚡ **Should the informed consent form be changed?**
- ⚡ **Should the study be stopped?**

### **Type of Information that would be useful to IRBs**

⚡ **A copy of the sponsor's review and evaluation that must be submitted to FDA:**

- ⚡ **The sponsor shall promptly review all information relevant to the safety of the drug ... from any source**

21 CFR 312.32(b)

### **Type of Information that would be useful to IRBs**

⚡ **The sponsor shall notify FDA and all participating investigators in a written IND safety report**

- ⚡ **Any experience that is both serious and unexpected**

21 CFR 312.32(c)(1)(i)(A)

**... and shall analyze the significance of the adverse experience ...**

21 CFR 312.32(c)(1)(ii)

### **Type of Information that would be useful to IRBs**

⚡ **A copy of the sponsor's review and evaluation that must be submitted to FDA:**

- ⚡ **A sponsor shall immediately evaluate any UADE**

21 CFR 312.40(b)(1)

**... shall report the results of such evaluation to FDA and to all reviewing IRBs and participating investigators within 10 working days**

21 CFR 312.150(b)(1)

### **Type of Information that is not useful to IRBs**

#### **// Any unanticipated problem**

21 CFR 56.108(b)(1)  
21 CFR 56.312.06

**// The term “serious and unexpected” clearly defines and limits incidents that should be reported**

**▲ The term “any unanticipated problem” is open-ended and subject to interpretation that it is unlimited – that any event, no matter how trivial, must be reported to the IRB**

### **Authority of the IRB**

#### **IRB authority is limited**

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